

12/01/00

35715 U.S. PTO

**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

001497

Total Pages

PTO

First Named Inventor or Application Identifier

Naoto HORIGUCHI, Tatsuya USUKI and Kenichi GOTO

Express Mail Label No.

Check Box, if applicable [ ] Duplicate

APPLICATION ELEMENTS FOR:

**SEMICONDUCTOR MEMORY WITH FLOATING GATE  
TYPE FET**

ADDRESS TO:

Director of Patents and Trademarks  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [43]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [15]
4. ☒ Oath or Declaration Total Pages [5]
  - a. ☒ Newly executed (original)
  - b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
    - i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s))
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)

11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority ☒ [1] Certified copy of Priority Document

a. Priority of \_\_\_\_\_ application no. \_\_\_\_\_ filed on \_\_\_\_\_ is claimed under 35 USC 119.  
The certified copies/copy have/has been filed in prior application Serial No. \_\_\_\_\_.  
(For Continuing Applications, if applicable).

16. ☐ Other \_\_\_\_\_

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	Basic . . Fee
The filing fee is calculated below				\$710.00
Total Claims	11 - 20		x \$18.00	
Independent Claims	6 - 3	3	x \$80.00	\$240.00
Multiple Dependent Claims			\$270.00	
Basic Filing Fee				\$950.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	\$ 40.00
TOTAL				\$ 990.00

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[XX] A check in the amount of \$ 990.00 is enclosed to cover the filing fee; including \$ 710.00 for filing fee; 240.00 for 3 extra independent claims and the \$ 40.00 for assignment recordation fee.

[ ] Please charge our Deposit Account No. **01-2340** in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. **01-2340**. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name / Stephen G. Adrian

Reg. No. 32,878

Signature

Date: December 1, 2000

SGA/ll

SCANNED, # 12